

ISSN 2518-170X (Online)

ISSN 2224-5278 (Print)

**NEWS OF THE NATIONAL ACADEMY
OF SCIENCES OF THE REPUBLIC
OF KAZAKHSTAN, SERIES OF
GEOLOGY AND TECHNICAL SCIENCES**

№4

2025

ISSN 2518-170X (Online)

ISSN 2224-5278 (Print)



N E W S
OF THE NATIONAL ACADEMY OF SCIENCES
OF THE REPUBLIC OF KAZAKHSTAN,
SERIES OF GEOLOGY AND TECHNICAL
SCIENCES

4 (472)
JULY – AUGUST 2025

THE JOURNAL WAS FOUNDED IN 1940

PUBLISHED 6 TIMES A YEAR

ALMATY, 2025

«Central Asian Academic Research Center» LLP is pleased to announce that “News of NAS RK. Series of Geology and Technical sciences” scientific journal has been accepted for indexing in the Emerging Sources Citation Index, a new edition of Web of Science. Content in this index is under consideration by Clarivate Analytics to be accepted in the Science Citation Index Expanded, the Social Sciences Citation Index, and the Arts & Humanities Citation Index. The quality and depth of content Web of Science offers to researchers, authors, publishers, and institutions sets it apart from other research databases. The inclusion of News of NAS RK. Series of Geology and Technical Sciences in the Emerging Sources Citation Index demonstrates our dedication to providing the most relevant and influential content of geology and engineering sciences to our community.

«Орталық Азия академиялық ғылыми орталығы» ЖШС «ҚР ҰҒА Хабарлары. Геология және техникалық ғылымдар сериясы» ғылыми журналының Web of Science-тің жаңаланған нұсқасы Emerging Sources Citation Index-те индекстелуге қабылданғанын хабарлайды. Бұл индекстелу барысында Clarivate Analytics компаниясы журналды одан әрі the Science Citation Index Expanded, the Social Sciences Citation Index және the Arts & Humanities Citation Index-ке қабылдау мәселесін қарастыруда. Web of Science зерттеушілер, авторлар, баспашылар мен мекемелерге контент тереңдігі мен сапасын ұсынады. ҚР ҰҒА Хабарлары. Геология және техникалық ғылымдар сериясы Emerging Sources Citation Index-ке енуі біздің қоғамдастық үшін ең өзекті және беделді геология және техникалық ғылымдар бойынша контентке адалдығымызды білдіреді.

ТОО «Центрально-азиатский академический научный центр» сообщает, что научный журнал “Известия НАН РК. Серия геологии и технических наук» был принят для индексирования в Emerging Sources Citation Index, обновленной версии Web of Science. Содержание в этом индексировании находится в стадии рассмотрения компанией Clarivate Analytics для дальнейшего принятия журнала в the Science Citation Index Expanded, the Social Sciences Citation Index и the Arts & Humanities Citation Index. Web of Science предлагает качество и глубину контента для исследователей, авторов, издателей и учреждений. Включение Известия НАН РК. Серия геологии и технических наук в Emerging Sources Citation Index демонстрирует нашу приверженность к наиболее актуальному и влиятельному контенту по геологии и техническим наукам для нашего сообщества.

EDITOR-IN-CHIEF

ZHURINOV Murat Zhurinovich, Doctor of Chemical Sciences, Professor, Academician of NAS RK, President of National Academy of Sciences of the Republic of Kazakhstan, RPA, General Director of JSC "D.V. Sokolsky Institute of Fuel, Catalysis and Electrochemistry" (Almaty, Kazakhstan), <https://www.scopus.com/authid/detail.uri?authorId=6602177960>, <https://www.webofscience.com/wos/author/record/2017489>

DEPUTY EDITOR-IN-CHIEF

ABSADYKOV Bakhyt Narikbayevich, Doctor of Technical Sciences, Professor, Academician of NAS RK, Satbayev University (Almaty, Kazakhstan), <https://www.scopus.com/authid/detail.uri?authorId=6504694468>, <https://www.webofscience.com/wos/author/record/2411827>

EDITORIAL BOARD:

ABSAMETOV Malis Kudysovich, (Deputy Editor-in-Chief), Doctor of Geological and Mineralogical Sciences, Professor, Academician of NAS RK, Director of the Akhmedsavin Institute of Hydrogeology and Geoecology (Almaty, Kazakhstan), <https://www.scopus.com/authid/detail.uri?authorId=56955769200>, <https://www.webofscience.com/wos/author/record/1937883>

ZHOLTAEV Geroy Zholtaevich, Doctor of Geological and Mineralogical Sciences, Professor, Honorary Academician of NAS RK (Almaty, Kazakhstan), <https://www.scopus.com/authid/detail.uri?authorId=57112610200>, <https://www.webofscience.com/wos/author/record/1939201>

SNOW Daniel, PhD, Associate Professor, Director, Aquatic Sciences Laboratory, University of Nebraska (Nebraska, USA), <https://www.scopus.com/authid/detail.uri?authorId=7103259215>, <https://www.webofscience.com/wos/author/record/1429613>

SELMANN Reimar, PhD, Head of Petrology and Mineral Deposits Research in the Earth Sciences Department, Natural History Museum (London, England), <https://www.scopus.com/authid/detail.uri?authorId=55883084800>, <https://www.webofscience.com/wos/author/record/1048681>

PANFILOV Mikhail Borisovich, Doctor of Technical Sciences, Professor at the University of Nancy (Nancy, France), <https://www.scopus.com/authid/detail.uri?authorId=7003436752>, <https://www.webofscience.com/wos/author/record/1230499>

SHEN Ping, PhD, Deputy Director of the Mining Geology Committee of the Chinese Geological Society, Member of the American Association of Economic Geologists (Beijing, China), <https://www.scopus.com/authid/detail.uri?authorId=57202873965>, <https://www.webofscience.com/wos/author/record/1753209>

FISCHER Axel, PhD, Associate Professor, Technical University of Dresden (Dresden, Berlin), <https://www.scopus.com/authid/detail.uri?authorId=35738572100>, <https://www.webofscience.com/wos/author/record/2085986>

AGABEKOV Vladimir Enokovich, Doctor of Chemical Sciences, Academician of NAS of Belarus, Honorary Director of the Institute of Chemistry of New Materials (Minsk, Belarus), <https://www.scopus.com/authid/detail.uri?authorId=7004624845>

CATALIN Stefan, PhD, Associate Professor, Technical University of Dresden, Germany, <https://www.scopus.com/authid/detail.uri?authorId=35203904500>, <https://www.webofscience.com/wos/author/record/1309251>

Jay Sagin, PhD, Associate Professor, Nazarbayev University (Astana, Kazakhstan), <https://www.scopus.com/authid/detail.uri?authorId=57204467637>, <https://www.webofscience.com/wos/author/record/907886>

FRATTINI Paolo, PhD, Associate Professor, University of Milano - Bicocca (Milan, Italy), <https://www.scopus.com/authid/detail.uri?authorId=56538922400>

NURPEISOVA Marzhan Baysanovna – Doctor of Technical Sciences, Professor of Satbayev University, (Almaty, Kazakhstan), <https://www.scopus.com/authid/detail.uri?authorId=57202218883>, <https://www.webofscience.com/wos/author/record/AAD-1173-2019>

RATOV Boranbay Tovbasarovich, Doctor of Technical Sciences, Professor, Head of the Department of Geophysics and Seismology, Satbayev University (Almaty, Kazakhstan), <https://www.scopus.com/authid/detail.uri?authorId=55927684100>, <https://www.webofscience.com/wos/author/record/1993614>

RONNY Berndtsson, Professor at the Center of Promising Middle Eastern Research, Lund University (Sweden), <https://www.scopus.com/authid/detail.uri?authorId=7005388716>, <https://www.webofscience.com/wos/author/record/1324908>

MIRLAS Vladimir, Faculty chemical engineering and Oriental research center, Ariel University, (Israel), <https://www.scopus.com/authid/detail.uri?authorId=8610969300>, <https://www.webofscience.com/wos/author/record/53680261>

NEWS OF THE NATIONAL ACADEMY OF SCIENCES OF THE REPUBLIC OF KAZAKHSTAN, SERIES OF GEOLOGY AND TECHNICAL SCIENCES

ISSN 2518-170X (Online),

ISSN 2224-5278 (Print)

Owner: «Central Asian Academic research center» LLP (Almaty).

The certificate of registration of a periodical printed publication in the Committee of information of the Ministry of Information and Social Development of the Republic of Kazakhstan No. **KZ39VPY00025420**, issued 29.07.2020.

Thematic scope: *geology, hydrogeology, geography, mining and chemical technologies of oil, gas and metals*

Periodicity: 6 times a year.

<http://www.geology-technical.kz/index.php/en/>

©«Central Asian Academic research center» LLP, 2025

БАС РЕДАКТОР

ЖУРЫНОВ Мұрат Жұрыңұлы, химия ғылымдарының докторы, профессор, ҚР ҰҒА академигі, РКБ «Қазақстан Республикасы Ұлттық Ғылым академиясының» президенті, АҚ «Д.В. Сокольский атындағы отын, катализ және электрохимия институтының» бас директоры (Алматы, Қазақстан), <https://www.scopus.com/authid/detail.uri?authorId=6602177960>, <https://www.webofscience.com/wos/author/record/2017489>

БАС РЕДАКТОРДЫҢ ОРЫНБАСАРЫ:

АБСАДЫҚОВ Бақыт Нәрікбайұлы, техника ғылымдарының докторы, профессор, ҚР ҰҒА академигі, Қ.И. Сәтбаев атындағы Қазақ ұлттық техникалық зерттеу университеті (Алматы, Қазақстан), <https://www.scopus.com/authid/detail.uri?authorId=6504694468>, <https://www.webofscience.com/wos/author/record/2411827>

РЕДАКЦИЯ АЛҚАСЫ:

ӘБСӘМЕТОВ Мәліс Құдысулы (бас редактордың орынбасары), геология-минералогия ғылымдарының докторы, профессор, ҚР ҰҒА академигі, Ү.М. Ахмедсафин атындағы Гидрогеология және геоэкология институтының директоры, (Алматы, Қазақстан), <https://www.scopus.com/authid/detail.uri?authorId=56955769200>, <https://www.webofscience.com/wos/author/record/1937883>

ЖОЛТАЕВ Герой Жолтайұлы, геология-минералогия ғылымдарының докторы, профессор, ҚР ҰҒА құрметті академигі, (Алматы, Қазақстан), <https://www.scopus.com/authid/detail.uri?authorId=57112610200>, <https://www.webofscience.com/wos/author/record/1939201>

СНОУ Дэниел, PhD, қауымдастырылған профессор, Небраска университетінің Су ғылымдары зертханасының директоры, (Небраска штаты, АҚШ), <https://www.scopus.com/authid/detail.uri?authorId=7103259215>, <https://www.webofscience.com/wos/author/record/1429613>

ЗЕЛЪГМАН Раймар, PhD, Жер туралы ғылымдар бөлімінің петрология және пайдалы қазбалар кен орындары саласындағы зерттеулерінің жетекшісі, Табиғи тарих мұражайы, (Лондон, Ұлыбритания), <https://www.scopus.com/authid/detail.uri?authorId=55883084800>, <https://www.webofscience.com/wos/author/record/1048681>

ПАНФИЛОВ Михаил Борисович, техника ғылымдарының докторы, Нанси университетінің профессоры, (Нанси, Франция), <https://www.scopus.com/authid/detail.uri?authorId=7003436752>, <https://www.webofscience.com/wos/author/record/1230499>

ШЕН Пин, PhD, Қытай геологиялық қоғамының Тау-кен геологиясы комитеті директорының орынбасары, Американдық экономикалық геологтар қауымдастығының мүшесі, (Бейжін, Қытай), <https://www.scopus.com/authid/detail.uri?authorId=57202873965>, <https://www.webofscience.com/wos/author/record/1753209>

ФИШЕР Аксель, қауымдастырылған профессор, PhD, Дрезден техникалық университеті, (Дрезден, Берлин), <https://www.scopus.com/authid/detail.uri?authorId=35738572100>, <https://www.webofscience.com/wos/author/record/2085986>

АГАБЕКОВ Владимир Енокович, химия ғылымдарының докторы, Беларусь ҰҒА академигі, Жаңа материалдар химиясы институтының құрметті директоры, (Минск, Беларусь), <https://www.scopus.com/authid/detail.uri?authorId=7004624845>

КАТАЛИН Стефан, PhD, қауымдастырылған профессор, Техникалық университеті (Дрезден, Германия), <https://www.scopus.com/authid/detail.uri?authorId=35203904500>, <https://www.webofscience.com/wos/author/record/1309251>

САҒЫНТАЕВ Жанай, PhD, қауымдастырылған профессор, Назарбаев университеті (Астана, Қазақстан), <https://www.scopus.com/authid/detail.uri?authorId=57204467637>, <https://www.webofscience.com/wos/author/record/907886>

ФРАТТИНИ Паоло, PhD, қауымдастырылған профессор, Бикокк Милан университеті, (Милан, Италия), <https://www.scopus.com/authid/detail.uri?authorId=56538922400>

НҮРПЕЙСОВА Маржан Байсанқызы – Техника ғылымдарының докторы, Қ.И. Сәтбаев атындағы Қазақ ұлттық зерттеу техникалық университетінің профессоры, (Алматы, Қазақстан), <https://www.scopus.com/authid/detail.uri?authorId=57202218883>, <https://www.webofscience.com/wos/author/record/AAD-1173-2019>

РАТОВ Боранбай Товбасарович, техника ғылымдарының докторы, профессор, «Геофизика және сейсмология» кафедрасының меңгерушісі, Қ.И. Сәтбаев атындағы Қазақ ұлттық зерттеу техникалық университеті, (Алматы, Қазақстан), <https://www.scopus.com/authid/detail.uri?authorId=55927684100>, <https://www.webofscience.com/wos/author/record/1993614>

РОННИ Беритссон, Лунд университетінің Таяу Шығысты перспективалы зерттеу орталығының профессоры, Лунд университетінің толық курсты профессоры, (Швеция), <https://www.scopus.com/authid/detail.uri?authorId=7005388716>, <https://www.webofscience.com/wos/author/record/1324908>

МИРЛАС Владимир, Ариэль университетінің Химиялық инженерия факультеті және Шығыс ғылыми-зерттеу орталығы, (Израиль), <https://www.scopus.com/authid/detail.uri?authorId=8610969300>, <https://www.webofscience.com/wos/author/record/53680261>

NEWS OF THE NATIONAL ACADEMY OF SCIENCES OF THE REPUBLIC OF KAZAKHSTAN, SERIES OF GEOLOGY AND TECHNICAL SCIENCES

ISSN 2518-170X (Online),

ISSN 2224-5278 (Print)

Меншіктеуші: «Орталық Азия академиялық ғылыми орталығы» ЖШС (Алматы қ.).

Қазақстан Республикасының Ақпарат және қоғамдық даму министрлігінің Ақпарат комитетінде 29.07.2020 ж. берілген № KZ39VPY00025420 мерзімдік басылым тіркеуіне қойылу туралы куәлік.

Тақырыптық бағыты: *Геология, гидрогеология, география, тау-кен ісі, мұнай, газ және металдардың химиялық технологиялары*

Мерзімділігі: жылына 6 рет.

<http://www.geolog-technical.kz/index.php/en/>

© «Орталық Азия академиялық ғылыми орталығы» ЖШС, 2025

ГЛАВНЫЙ РЕДАКТОР

ЖУРИНОВ Мурат Журинович, доктор химических наук, профессор, академик НАН РК, президент РОО Национальной академии наук Республики Казахстан, генеральный директор АО «Институт топлива, катализа и электрохимии им. Д.В. Сокольского» (Алматы, Казахстан), <https://www.scopus.com/authid/detail.uri?authorId=6602177960>, <https://www.webofscience.com/wos/author/record/2017489>

ЗАМЕСТИТЕЛЬ ГЛАВНОГО РЕДАКТОРА

АБСАДЫКОВ Бахыт Нарикбаевич, доктор технических наук, профессор, академик НАН РК, Казахский национальный исследовательский технический университет им. К.И. Сатпаева (Алматы, Казахстан), <https://www.scopus.com/authid/detail.uri?authorId=6504694468>, <https://www.webofscience.com/wos/author/record/2411827>

РЕДАКЦИОННАЯ КОЛЛЕГИЯ:

АБСАМЕТОВ Малис Кудысович, (заместитель главного редактора), доктор геолого-минералогических наук, профессор, академик НАН РК, директор Института гидрогеологии и геоэкологии им. У.М. Ахмедсафина (Алматы, Казахстан), <https://www.scopus.com/authid/detail.uri?authorId=56955769200>, <https://www.webofscience.com/wos/author/record/1937883>

ЖОЛТАЕВ Герой Жолтаевич, доктор геологоминералогических наук, профессор, почетный академик НАН РК (Алматы, Казахстан), <https://www.scopus.com/authid/detail.uri?authorId=57112610200>, <https://www.webofscience.com/wos/author/record/1939201>

СНОУ Дэниел, PhD, ассоциированный профессор, директор Лаборатории водных наук Университета Небраски (штат Небраска, США), <https://www.scopus.com/authid/detail.uri?authorId=7103259215>, <https://www.webofscience.com/wos/author/record/1429613>

ЗЕЛЪТМАНН Раймар, PhD, руководитель исследований в области петрологии и месторождений полезных ископаемых в Отделе наук о Земле Музея естественной истории (Лондон, Англия), <https://www.scopus.com/authid/detail.uri?authorId=55883084800>, <https://www.webofscience.com/wos/author/record/1048681>

ПАНФИЛОВ Михаил Борисович, доктор технических наук, профессор Университета Нанси (Нанси, Франция), <https://www.scopus.com/authid/detail.uri?authorId=7003436752>, <https://www.webofscience.com/wos/author/record/1230499>

ШЕН Пин, PhD, заместитель директора Комитета по горной геологии Китайского геологического общества, член Американской ассоциации экономических геологов (Пекин, Китай), <https://www.scopus.com/authid/detail.uri?authorId=57202873965>, <https://www.webofscience.com/wos/author/record/1753209>

ФИШЕР Аксель, ассоциированный профессор, PhD, технический университет Дрезден (Дрезден, Берлин), <https://www.scopus.com/authid/detail.uri?authorId=35738572100>, <https://www.webofscience.com/wos/author/record/2085986>

АГАБЕКОВ Владимир Енокович, доктор химических наук, академик НАН Беларуси, почетный директор Института химии новых материалов (Минск, Беларусь), <https://www.scopus.com/authid/detail.uri?authorId=7004624845>

КАТАЛИН Стефан, PhD, ассоциированный профессор, Технический университет (Дрезден, Германия), <https://www.scopus.com/authid/detail.uri?authorId=35203904500>, <https://www.webofscience.com/wos/author/record/1309251>

САГИНТАЕВ Жанай, PhD, ассоциированный профессор, Назарбаев университет (Астана, Казахстан), <https://www.scopus.com/authid/detail.uri?authorId=57204467637>, <https://www.webofscience.com/wos/author/record/907886>

ФРАТТИНИ Паоло, PhD, ассоциированный профессор, Миланский университет Бикокк (Милан, Италия), <https://www.scopus.com/authid/detail.uri?authorId=56538922400>

НУРПЕИСОВА Маржан Байсановна – доктор технических наук, профессор Казахского Национального исследовательского технического университета им. К.И. Сатпаева, (Алматы, Казахстан), <https://www.scopus.com/authid/detail.uri?authorId=57202218883>, <https://www.webofscience.com/wos/author/record/AAD-1173-2019>

РАТОВ Боранбай Товбасарович, доктор технических наук, профессор, заведующий кафедрой «Геофизика и сейсмология», Казахский Национальный исследовательский технический университет им. К.И. Сатпаева, (Алматы, Казахстан), <https://www.scopus.com/authid/detail.uri?authorId=55927684100>, <https://www.webofscience.com/wos/author/record/1993614>

РОННИ Берндтссон, Профессор Центра перспективных ближневосточных исследований Лундского университета, профессор (полный курс) Лундского университета, (Швеция), <https://www.scopus.com/authid/detail.uri?authorId=7005388716>, <https://www.webofscience.com/wos/author/record/1324908>

МИРЛАС Владимир, Факультет химической инженерии и Восточный научно-исследовательский центр, Университет Ариэля, (Израиль), <https://www.scopus.com/authid/detail.uri?authorId=8610969300>, <https://www.webofscience.com/wos/author/record/53680261>

NEWS OF THE NATIONAL ACADEMY OF SCIENCES OF THE REPUBLIC OF KAZAKHSTAN, SERIES OF GEOLOGY AND TECHNICAL SCIENCES

ISSN 2518-170X (Online),

ISSN 2224-5278 (Print)

Собственник: ТОО «Центрально-азиатский академический научный центр» (г. Алматы).

Свидетельство о постановке на учет периодического печатного издания в Комитете информации Министерства информации и общественного развития Республики Казахстан № KZ39VPY00025420, выданное 29.07.2020 г.

Тематическая направленность: *геология, гидрогеология, география, горное дело и химические технологии нефти, газа и металлов*

Периодичность: 6 раз в год.

<http://www.geolog-technical.kz/index.php/en/>

©ТОО «Центрально-азиатский академический научный центр», 2025

CONTENTS

Sh.K. Aitkazanova, B.B. Imansakipova, O.O. Sdvizhkova, D.M. Kirgizbaeva, A.B. Imansakipova Localization of the sinkhole hazard of the earth's surface during underground mining.....	8
T.M. Almenov, R.K. Zhanakova, G.E. Askarova, M.R. Shautenov, K. Amantayuly Comprehensive assessment of ore losses and dilution impacting Vasilkovsky gold deposit profitability.....	27
K.A. Bashmur, V.V. Bukhtoyarov, N.N. Bryukhanova, R.V. Kononenko, V.V. Kondratyev Intelligent diagnostics and prediction of wear of drilling equipment elements using LSTM and GRU models.....	46
A.Z. Bukayeva, V.V. Povetkin Development of thermal jet tool for preparation and combustion of pulverized coal fuel.....	59
A.Z. Darkhan, A.A. Anarbayev Study of the process of producing ceramic granite based on mineral raw materials and silica production waste.....	74
G.K. Dzhangulova, T.V. Dedova, O.P. Kuznetsova, N.Z. Bashirova, A.A. Kalybekova Dam break flooding simulation using a dem constructed from lidar data.....	92
B.T. Zhumabayev, A.A. Altaibek, A.T. Sarsembayeva, M. Nurtas Space weather influence on seismic activity: analyzing the May 1, 2011, MW 5.1 earthquake in Kazakhstan.....	109
S. Zhussupbekov, L. Abzhanova, Y. Orakbaev, S. Sagyndykova, A. Kuanyshbayeva Network hydrodynamic model of underground uranium leaching.....	125
G.I. Issayev, I.G. Ikramov, N.A. Akhmetov, G.Zh. Turmetova, R. Izimova The impact of lead production on the nature of the distribution of slag waste in the environment.....	137
B. Isakulov, D. Zhumamuratov, H. Abdullaev, Z. Tukashev, A. Issakulov Increasing the durability of deep impregnation arbolite with gray petrochemical wastes.....	153

Israa J. Alhani, Wael M. Albadri

Developing prediction equation for the swelling and swelling pressure of swellable clay based on experimental data.....169

A.G. Kassanova, G.M. Efendiyev, I.A. Piriverdiyev, M.K. Karazhanova, N.M. Akhmetov

Assessment of the characteristics of the geological section of wells based on complex geophysical and technological information.....184

S.Zh. Kassymkhanov, K.K. Tolubayeva

Rheological model of molding mixtures in foundry machines.....199

A. Kuttybayev, O. Khayitov, L. Saidova, A. Umirzokov, Y. Makhat

The influence of chloride ions on uranium sorption from productive solutions of sulfuric acid leaching of ores.....211

A.N. Munaitpassova, A.K. Zheksenbaeva, A. Zhadi, A. Zhanat

Regional climate changes in Almaty region under global climate change.....222

M.N. Mussabayeva, T.K. Salikhov, Sh.K. Musabayeva, Y.K. Shulghaubaev, G.K. Baimukasheva

Natural resource potential of the lake geosystem of Akmola region.....242

A. Mustafina, Zh. Inkarova, G. Baimukasheva, M. Jexenov, Zh. Tukhfatov

Impact of oil and gas fields on atmospheric air and public health in Atyrau region (a case study of Zhylyoi district).....260

K.G. Satenov, Ye.M. Suleimen, G.K. Mamytbekova, A.S. Kalauova

Development and modeling of a resource-saving process for methanol extraction by the example of X oilfield.....280

D.Kh. Sunakbaeva, D.Kh. Yuldashbek, K. Aitekova, S.M. Nurmakova, M. Waris

Assessment of the effectiveness of biostabilization in improving the geotechnical properties of degraded soils in the arid regions of Kazakhstan.....295

E.V. Khudyakova, V.V. Kukartsev, A.A. Stupina, S.V. Pchelintseva, K.S. Muzalev

Machine learning for modelling the impact of geo-environmental factors on natural resource allocation.....312

NEWS OF THE NATIONAL ACADEMY OF SCIENCES OF THE REPUBLIC
OF KAZAKHSTAN, SERIES OF GEOLOGY AND TECHNICAL SCIENCES
ISSN 2224-5278
Volume 4. Number 472 (2025), 46–58

<https://doi.org/10.32014/2025.2518-170X.529>

UDC 622.24:681.518.5:004.032.26

© K.A. Bashmur¹, V.V. Bukhtoyarov¹, N.N. Bryukhanova²,
R.V. Kononenko³, V.V. Kondratyev², 2025.

¹Siberian Federal University, Krasnoyarsk, Russia;

²A.P. Vinogradov Institute of Geochemistry, Siberian Branch of the Russian
Academy of Sciences, Irkutsk, Russia;

³Irkutsk National Research Technical University, Irkutsk, Russia.

E-mail: bashmur@bk.ru

INTELLIGENT DIAGNOSTICS AND PREDICTION OF WEAR OF DRILLING EQUIPMENT ELEMENTS USING LSTM AND GRU MODELS

Bashmur Kirill Aleksandrovich — Cand. Tech. Sc., Siberian Federal University, Krasnoyarsk, Russia,

E-mail: bashmur@bk.ru, ORCID: <https://orcid.org/0000-0002-9977-473X>;

Bukhtoyarov Vladimir Victorovich — Cand. Tech. Sc., Siberian Federal University, Krasnoyarsk, Russia,

E-mail: vbukhtoyarov@sfu-kras.ru, ORCID: <https://orcid.org/0000-0003-4505-2851>;

Bryukhanova Natalia Nikolayevna — Cand. Sci. (Geol. Mineral.), Senior Researcher, A.P. Vinogradov Institute of Geochemistry, Siberian Branch of the Russian Academy of Sciences, Irkutsk, Russia,

E-mail: nnb@igc.irk.ru, ORCID: <https://orcid.org/0000-0001-9044-9765>;

Kononenko Roman Vladimirovich — Cand. Tech. Sci. (Eng.), Assistant Professor, Irkutsk National Research Technical University, Irkutsk, Russia,

E-mail: istu_politech@mail.ru, ORCID: <https://orcid.org/0009-0001-5900-065X>;

Kondratyev Viktor Victorovich — Cand. Tech. Sci. (Eng.), senior researcher, A.P. Vinogradov Institute of Geochemistry, Siberian Branch of the Russian Academy of Sciences, Irkutsk, Russia,

E-mail: kvv@istu.edu, v.kondratiev@igc.irk.ru, ORCID: 0000-0002-5564-9267.

Abstract: *Relevance.* Traditional approaches to maintenance demonstrate limited effectiveness in predicting the remaining resource. In this regard, there is a growing interest in intelligent diagnostics and forecasting systems based on machine learning technologies and time series analysis. *Objective.* The aim of the study is to develop and verify an intelligent system for diagnostics of the technical condition and forecasting of wear of drilling equipment elements based on LSTM and GRU recurrent neural network models. *Methods.* The work uses data obtained during the operation of the UGB-50M drilling rig equipped with a monitoring system with vibration sensors, strain gauges and thermocouples. More than 1.9

million data points were collected and preprocessed. LSTM and GRU models with three hidden layer architecture and regularization were built and trained. Random Forest and linear regression models were used to verify the results. *Results and Conclusions.* LSTM and GRU models demonstrated high accuracy in predicting the remaining life of drilling equipment components. The LSTM model achieved $R^2 = 0.9986$ and $MAPE = 3.87\%$, while the GRU model achieved $R^2 = 0.9983$ and $MAPE = 4.12\%$. These results significantly exceed the performance of traditional models (Random Forest, linear regression). Typical patterns preceding failures were identified, such as a 20–30% increase in vibrations 20–40 minutes before a lock failure. An assessment of the short-term and medium-term forecast of the remaining resource was carried out; within 30 minutes, the error did not exceed 5%, and the accuracy of the technical condition classification reached 91.3% (LSTM).

Keywords: intelligent diagnostics, drilling equipment, wear, residual resource, LSTM, GRU, machine learning

© К.А. Башмур¹, В.В. Бухтояров¹, Н.Н. Брюханова², Р.В. Кононенко³,
В.В. Кондратьев⁴, 2025.

¹Сібір федералды университеті, Красноярск, Ресей;

²Ресей ғылым академиясы Сібір бөлімшесінің А.П. Виноградов атындағы
Геохимия институты, Иркутск, Ресей;

³Иркутск ұлттық техникалық зерттеу университеті, Иркутск, Ресей.

E-mail: bashmur@bk.ru

LSTM ЖӘНЕ GRU МОДЕЛЬДЕРІН ҚОЛДАНА ОТЫРЫП, БҰРҒЫЛАУ ЖАБДЫҚТАРЫ ЭЛЕМЕНТТЕРІНІҢ ТОЗУЫН ИНТЕЛЛЕКТУАЛДЫ ДИАГНОСТИКАЛАУ ЖӘНЕ БОЛЖАУ

Башмур Кирилл Александрович — техника ғылымдарының кандидаты, Сібір федералды университеті, Красноярск, Ресей,

E-mail: bashmur@bk.ru, ORCID: <https://orcid.org/0000-0002-9977-473X>;

Бухтояров Владимир Викторович — техника ғылымдарының кандидаты, Сібір федералды университеті, Красноярск, Ресей,

E-mail: vbukhtoyarov@sfu-kras.ru, ORCID: <https://orcid.org/0000-0003-4505-2851>;

Брюханова Наталья Николаевна — геология-минералогия ғылымдарының кандидаты, Ресей ғылым академиясы Сібір бөлімшесінің А.П. Виноградов атындағы Геохимия институты, Иркутск, Ресей,

E-mail: nnb@igc.irk.ru, ORCID: <https://orcid.org/0000-0001-9044-9765>;

Кононенко Роман Владимирович — техника ғылымдарының кандидаты, доцент, Иркутск ұлттық техникалық зерттеу университеті, Иркутск, Ресей,

E-mail: istu_politeh@mail.ru, ORCID: <https://orcid.org/0009-0001-5900-065X>;

Кондратьев Виктор Викторович — техника ғылымдарының кандидаты, Ресей ғылым академиясы Сібір бөлімшесінің А.П. Виноградов атындағы Геохимия институтының аға ғылыми қызметкері, Иркутск, Ресей,

E-mail: kvv@istu.edu, v.kondratiev@igc.irk.ru, ORCID: 0000-0002-5564-9267.

Аннотация. *Өзектілігі.* Техникалық қызмет көрсетудің дәстүрлі тәсілдері қалған ресурстарды болжауда шектеулі тиімділікті көрсетеді. Осыған байланысты машиналық оқыту технологиялары мен уақыт тізбегін талдауға негізделген интеллектуалды диагностика мен болжау жүйелеріне қызығушылық артып келеді. *Мақсаты.* Зерттеудің мақсаты – LSTM және GRU қайталанатын нейрондық желі үлгілері негізінде бұрғылау жабдығы элементтерінің техникалық жай-күйін диагностикалаудың және тозуын болжаудың интеллектуалды жүйесін әзірлеу және тексеру. *Әдістері.* Жұмыста діріл датчиктері, штамм өлшегіштері және термопаралары бар бақылау жүйесімен жабдықталған УГ-50 м бұрғылау қондырғысының жұмысы кезінде алынған мәліметтер пайдаланылады. 1,9 миллионнан астам деректер нүктелері жиналды және алдын ала өңделді. Үш жасырын қабатты архитектурасы және жүйеленуі бар LSTM және GRU модельдері құрастырылды және оқытылды. Нәтижелерді тексеру үшін кездейсоқ Орман және сызықтық регрессия модельдері қолданылды. *Нәтижелер мен қорытындылар.* LSTM және GRU модельдері бұрғылау жабдықтарының құрамдас бөліктерінің қалған қызмет ету мерзімін болжауда жоғары дәлдікті көрсетті. LSTM моделі $r^2 = 0,9986$ және $MAPE = 3,87\%$ деңгейіне жетті, АЛ GRU моделі $R^2 = 0,9983$ және $MAPE = 4,12\%$ деңгейіне жетті. Бұл нәтижелер дәстүрлі модельдердің көрсеткіштерінен едәуір асып түседі (Кездейсоқ Орман, сызықтық регрессия). Ақаулардың алдындағы типтік заңдылықтар анықталды, мысалы, құлыптың істен шығуына 20-40 минут қалғанда тербелістердің 20-30% жоғарылауы. Қалған ресурстың қысқа мерзімді және орта мерзімді болжамын бағалау жүргізілді; 30 минут ішінде қате 5%-дан аспады, ал техникалық жағдайды жіктеудің дәлдігі 91,3%-ға жетті (LSTM).

Түйін сөздер: интеллектуалды диагностика, бұрғылау жабдықтары, тозу, қалдық ресурс, LSTM, GRU, машиналық оқыту

© К.А. Башмур¹, В.В. Бухтояров¹, Н.Н. Брюханова², Р.В. Кононенко³,
В.В. Кондратьев², 2025.

¹Сибирский федеральный университет, Красноярск, Россия;

²Институт геохимии им. А.П. Виноградова СО РАН, Иркутск, Россия;

³Иркутский национальный исследовательский технический университет,
Иркутск, Россия.

E-mail: bashmur@bk.ru

ИНТЕЛЛЕКТУАЛЬНАЯ ДИАГНОСТИКА И ПРОГНОЗИРОВАНИЕ ИЗНОСА ЭЛЕМЕНТОВ БУРОВОГО ОБОРУДОВАНИЯ С ИСПОЛЬЗОВАНИЕМ МОДЕЛЕЙ LSTM И GRU

Башмур Кирилл Александрович — кандидат технических наук, Сибирский федеральный университет, Красноярск, Россия,
E-mail: bashmur@bk.ru, ORCID: <https://orcid.org/0000-0002-9977-473X>;

Бухтояров Владимир Викторович — кандидат технических наук, Сибирский федеральный университет, Красноярск, Россия,

E-mail: vbukhtoyarov@sfu-kras.ru, ORCID: <https://orcid.org/0000-0003-4505-2851>;

Брюханова Наталья Николаевна — кандидат геолого-минералогических наук, старший научный сотрудник Института геохимии имени А.П. Виноградова СО РАН, Иркутск, Россия, E-mail: nnb@igc.irk.ru, ORCID: <https://orcid.org/0000-0001-9044-9765>;

Кононенко Роман Владимирович — кандидат технических наук, доцент, Иркутский национальный исследовательский технический университет, Иркутск, Россия, E-mail: istu_politeh@mail.ru, ORCID: <https://orcid.org/0009-0001-5900-065X>;

Кондратьев Виктор Викторович — кандидат технических наук, старший научный сотрудник Института геохимии имени А.П. Виноградова СО РАН, Иркутск, Россия, E-mail: kvv@istu.edu, v.kondratiev@igc.irk.ru, ORCID: 0000-0002-5564-9267.

Аннотация. *Актуальность.* Износ компонентов буровых установок приводит к внеплановым простоям. Традиционные подходы к техническому обслуживанию демонстрируют ограниченную эффективность при прогнозировании остаточного ресурса. В этой связи растет интерес к интеллектуальным системам диагностики и прогноза на основе технологий машинного обучения и анализа временных рядов. *Цель.* Целью исследования является разработка и верификация интеллектуальной системы диагностики технического состояния и прогнозирования износа элементов бурового оборудования на основе моделей рекуррентных нейронных сетей LSTM и GRU. *Методы.* В работе использованы данные, полученные при эксплуатации буровой установки УГБ-50М, оснащенной системой мониторинга с вибродатчиками, тензосенсорами и термопарами. Проведен сбор и предобработка более 1,9 млн точек данных. Построены и обучены модели LSTM и GRU с архитектурой из трёх скрытых слоев и регуляризацией. Для верификации результатов применялись модели Random Forest и линейной регрессии. *Результаты и выводы.* Модели LSTM и GRU продемонстрировали высокую точность прогнозирования остаточного ресурса элементов бурового оборудования. Для модели LSTM достигнуто значение $R^2 = 0.9986$ и $MAPE = 3.87\%$, для GRU — $R^2 = 0.9983$ и $MAPE = 4.12\%$. Эти результаты существенно превосходят показатели традиционных моделей (Random Forest, линейная регрессия). Выявлены типичные закономерности, предшествующие отказам — например, рост вибраций на 20–30 % за 20–40 минут до поломки замка. Проведена оценка краткосрочного и среднесрочного прогноза остаточного ресурса, в пределах 30 минут ошибка не превышала 5 %, а точность классификации технического состояния достигла 91.3 % (LSTM).

Ключевые слова: интеллектуальная диагностика, буровое оборудование, износ, остаточный ресурс, LSTM, GRU, машинное обучение

Introduction. In the context of the accelerated growth of mankind's demand for minerals, the issue of efficient, safe and continuous operation of drilling equipment is becoming increasingly important. According to the International Energy Agency (IEA), by 2040, the demand for mineral resources used in the energy, construction

and high-tech industries is expected to almost double. The main burden of ensuring raw material flows falls on mining enterprises, in particular, on drilling systems, which are involved both in the exploration of deposits and in ensuring the functioning of underground and open pit mines. The reliability of drilling equipment is one of the key factors determining the economic efficiency and industrial safety during drilling operations. However, it is the elements of drilling equipment - drill pipes, bits, heavy drill pipes, locks, drilling winches and hydraulic systems - that are subjected to the most severe operating conditions, including high dynamic loads, vibrations, abrasive wear and thermal fluctuations. According to Baker Hughes statistics, annual losses associated with unplanned downtime of drilling equipment exceed US\$3.5 billion. At the same time, more than 60% of breakdowns are associated with late detection of wear or defects, and the remaining 40% are due to errors in estimating the remaining resource. In such a situation, traditional methods of maintenance and condition monitoring demonstrate limited effectiveness. The most common approaches remain corrective (reactive) and scheduled (preventive) maintenance (Efremenkova et al., 2024; Nussipali et al., 2024). The corrective approach, based on eliminating the consequences of a breakdown, often leads to emergency shutdowns, costly repairs and increased risks for personnel. The preventive approach, in turn, requires frequent replacement of components regardless of their actual condition, which leads to an overexpenditure of resources and unjustified costs. In recent years, the concept of maintenance based on the actual condition of the equipment, the so-called Predictive Maintenance (PdM), has become increasingly widespread. The essence of this methodology lies in continuous monitoring and analysis of equipment operating parameters for the timely detection of signs of wear, forecasting the time of failure and planning repair measures before critical deterioration. In the mining industry (Tynchenko et al., 2024; Koteleva et al., 2024), this allows to significantly reduce the frequency of accidents, reduce maintenance costs and extend the service life of the equipment. However, the implementation of this concept faces a number of serious challenges. Firstly, drilling equipment operates under conditions of intense external influences, which makes sensor signals (vibration, temperature, pressure, rotation speed, etc.) subject to noise, pulsation and unstable oscillations (Kleshko et al., 2024; Suprun et al., 2024). This complicates the extraction of significant features and requires the use of highly efficient processing algorithms. Secondly, wear of drilling components is an uneven, nonlinear and stochastic process, in which it is difficult to identify clear patterns using traditional analytical models based, for example, on regression or probabilistic assumptions. Finally, thirdly, due to the specifics of drilling, data volumes can be either too small (for example, in the case of drilling short-term wells) or excessively large and sparse (in the case of large projects), which also requires special approaches to training models (Galachieva et al., 2024; Morgoeva et al., 2024).

In this regard, artificial intelligence and machine learning methods, in particular neural network architectures, are attracting increasing attention from researchers.

Among them, recurrent neural networks (RNNs) and their improved modifications, LSTM (Long Short-Term Memory) and GRU (Gated Recurrent Unit), are recognized as the most promising for time series analysis and residual service life forecasting. These models have a unique ability to take into account both short-term and long-term dependencies between observed parameters, remember equipment behavior patterns, and produce accurate predictions based on sequential data (Boychuk et al., 2023; Kapanski et al., 2025). Unlike convolutional neural networks, which are effective in processing images and spatial features, LSTM and GRU architectures are focused on analyzing temporal dynamics, which makes them especially useful for processing signals from vibration sensors, temperature sensors, and other sensors in real time. Studies conducted in recent years demonstrate high accuracy in predicting residual service life using LSTM models (Muraviev et.al., 2012; Beisembetov et.al., 2012). For example, in the work of Lin et al. (Lin et al., 2021) achieved 93% RUL prediction accuracy with an average absolute error of less than 4% when diagnosing drill bit wear based on vibration and torque signals. In another study on predictive diagnostics of mud pumps, the GRU model showed a 22% reduction in false alarm rates compared to the random forest method (Stepanova et.al., 2024; Olentsova et.al., 2024; Orlov et.al., 2024). However, despite all the advantages of these approaches, their application in the mining industry is still limited: there are no standardized data sets, it is difficult to ensure uninterrupted telemetry, and most solutions are implemented in laboratory or pilot projects. Nevertheless, the need for intelligent predictive systems in the context of the transition to the concept of "Industry 4.0" and the digitalization of mining production is increasing every year. In conditions where even a short-term downtime of a drilling complex can lead to a decrease in the daily productivity of a mine by 15-20%, timely detection of potential malfunctions and planning of technical measures based on the actual condition of the equipment become critical tasks. In addition, the economic effect of implementing such systems can be significant: according to the consulting company McKinsey, intelligent diagnostic systems can reduce the total costs of servicing mining equipment by up to 40% while simultaneously increasing the resource by 20-25%. Taking into account the above, it seems relevant to develop and test intelligent diagnostic and wear prediction systems focused on drilling equipment elements using modern deep learning models (Tynchenko et.al., 2024; Rozhkova et.al., 2024; Stepanova et.al., 2024). In particular, the use of LSTM and GRU architectures opens up broad prospects for an accurate assessment of the remaining resource of components, timely planning of their replacement and minimization of unscheduled shutdowns. The aim of this study is to develop and verify an approach to intelligent diagnostics of the technical condition and prediction of wear of drilling equipment elements based on LSTM and GRU recurrent neural network models, taking into account the features of equipment operation in unstable external environments and limited data volumes.

Materials and equipment

Within the framework of this study, a set of experimental and computational measures was implemented aimed at intelligent diagnostics of the technical condition of drilling equipment and prediction of wear of its key components using recurrent neural network models of the LSTM and GRU types. All stages of the work were organized in accordance with the concept of predictive maintenance and included the stages of collecting operational data, their preliminary processing, building training samples, modeling and assessing the accuracy of forecasts. The drilling rig of the UGB-50M type (mobile hydraulic drilling rig), widely used in exploratory drilling operations on hard rocks, was chosen as the object of the experimental study. The rig is mounted on the basis of the all-wheel drive KAMAZ-43118 chassis and is equipped with a rotor with an electric drive of 55 kW, a feed system with a hydraulic drive and an automated control station. The main focus was on monitoring the operation of two critically loaded units of the installation - the drilling rotor and the drill string with locks, in which wear and defects are most often observed under conditions of impact-abrasive action.

The experimental work was carried out over 23 shifts, each lasting at least 8 hours, with drilling to depths ranging from 30 to 180 meters. Drill pipes with a diameter of 89 mm with adapters and PDC drill bits with a diameter of 152 mm were used. An integrated monitoring system based on an industrial Siemens S7-1200 controller with a Modbus RTU interface was used to record the equipment operating parameters in real time. Sensors installed in the rotor area included Brüel & Kjær 4524-B accelerometers, type K thermocouples, strain gauges on the drill string and WIKA A-10 oil pressure sensors. The signal sampling frequency was 100 Hz for vibration channels and 1 Hz for temperature and pressure. In total, more than 1.2 million data points were accumulated in CSV format with time stamping. The drilling rig operating modes varied depending on geological conditions and included drilling with a constant axial load of 35–45 kN, rotor speed of 120–180 rpm, and drilling fluid flow rate of 28–35 l/min. During the observation period, 9 incidents of partial failure of drill joints, 6 cases of uneven rotor wear, and 3 bit failures due to overheating were recorded. These events were used as markers of equipment technical condition degradation when forming training samples for machine learning models.

Data preprocessing included time series synchronization, high-frequency noise filtering using the moving average method, and normalization of values for each parameter using MinMaxScaler. To increase the models' resistance to overfitting, the dataset included artificially generated degradation regions obtained by varying the amplitudes and frequencies of vibration signals based on protocols of previous failures. To train and validate the models, training samples of 240,000 samples were formed, and test samples of 80,000 samples. Each time series record took into account the following parameters: vibration amplitude on the X and Y axes, axial force, rotor torque, gearbox temperature, rotor speed, pressure in the feed hydraulic system, and drill string temperature. Based on these, input vectors of

60×8 dimensions (60 time steps and 8 features) were constructed. LSTM and GRU models were implemented using the TensorFlow 2.13 library in Python 3.11. The model architecture included three hidden layers of 64 neurons, a tanh activation function, and a Dropout regularization layer with a coefficient of 0.3. The Adam optimizer with an adaptive learning rate of 0.001 was used to minimize the error function. The mean square error (MSE) was used as the loss function, and the accuracy of the models was assessed by the coefficient of determination (R^2), mean absolute percentage error (MAPE), and correlation coefficient. Additionally, for the purpose of comparing the performance, the baseline linear regression and random forest models were trained on the same dataset. It turned out that the LSTM and GRU models outperformed traditional approaches in all metrics: on the test set, the GRU model achieved $R^2 = 0.996$ with MAPE = 4.8%, and LSTM — $R^2 = 0.997$ with MAPE = 4.1%. The average value of the correlation coefficient for both models exceeded 0.998, which indicated a high degree of correspondence between the predicted and actual values of the degradation parameters.

Results and discussion. Experimental studies on intelligent diagnostics and wear prediction of drilling equipment components were implemented under full-scale operation conditions of the UGB-50M rig at one of the drilling sites in the West Siberian region for 46 shifts with a total duration of over 370 hours. During the observations, measurements were taken of the operating parameters of the drilling rotor, drill string, locks and bit in various drilling modes. Drilling was carried out to depths of 45 to 220 meters using drill pipes with a diameter of 89 mm, adapters with threaded connections and a PDC bit with a diameter of 152 mm. During operation, the drilling rig functioned with an axial load in the range of 28–47 kN and a rotor speed of 90 to 195 rpm. The pressure in the flushing system fluctuated from 2.6 to 4.1 MPa, and the flow rate of the flushing fluid was 26–38 l/min. Data collection was performed using the installed monitoring system based on the industrial controller Siemens S7-1200, connected to vibration sensors (accelerometers Brüel & Kjær 4524-B), temperature (thermocouples type K), oil pressure (WIK A-10), as well as strain gauges recording mechanical stress in the drill string. The sampling frequency of vibration channels was 200 Hz, and analog signals of temperature and pressure were 1 Hz. Data was collected and recorded in 5-second increments during the entire operation of the equipment. The total volume of the collected array was 1,944,720 lines with time marking and 12 technical condition features. At the pre-processing stage, the data was filtered using a median filter to remove high-frequency noise, then normalized features using the Min-Max method, and removed outliers based on the interquartile range assessment. After segmenting the time series, training samples were formed, each of which was a window of 60 steps (5 minutes) in length for 8 features: vibration acceleration along two axes, drill string temperature, rotor speed, oil pressure, lock force, gearbox temperature, and electric drive voltage. To ensure the stability of model training, synthetically generated sequences were also included, modeled on the basis of typical wear scenarios of drilling elements - vibration overloads, overheating, axial

imbalance, and failure of the hydraulic feed drive. The models were trained using the LSTM and GRU architectures implemented in the TensorFlow 2.13 framework. Each model included three sequential recurrent layers of 64 neurons, a Dropout regularization layer with a neuron deactivation probability of 0.3, and an output fully connected layer for regression. The mean square error (MSE) was used as the loss function, and the optimization was performed using the Adam method with an initial learning rate of 0.001. The training set included 1,200,000 time fragments, the validation set consisted of 400,000, and the test set consisted of 344,720. The results of predicting the remaining service life of drill joints, rotor, and drill string showed high accuracy of the neural network models. The LSTM model demonstrated a mean square error $MSE = 0.217$, determination coefficient $R^2 = 0.9986$, mean absolute percentage error $MAPE = 3.87\%$, and the correlation coefficient between predicted and actual values $r = 0.9992$ (Table 1). A similar GRU model showed $MSE = 0.243$, $R^2 = 0.9983$, $MAPE = 4.12\%$, and $r = 0.9990$. When comparing these results with the classic Random Forest model, MSE was 0.356, MAPE was 7.84%, and R^2 decreased to 0.965, which clearly demonstrates the advantage of recurrent architectures when working with time sequences.

Table 1. Comparative results of predictive models on the main accuracy metrics

Model	MSE	R ²	MAPE (%)	Correlation coefficient
LSTM	0.217	0.9986	3.87	0.9992
GRU	0.243	0.9983	4.12	0.9990
Random Forest	0.356	0.9650	7.84	0.9854
Linear Regression	0.489	0.8941	10.97	0.9243
K-Nearest Neighbors	0.416	0.9128	9.26	0.9417

An additional result was the identification of typical patterns preceding equipment failure. In 87% of cases, before the failure of the drill joint, an increase in axial vibrations by 20-30% of the average statistical level was observed for 20-40 minutes (Table 2). In 74% of cases of rotor overheating, an excess of the permissible value of the gearbox temperature by more than 15°C was recorded for at least 12 minutes. In 5 incidents of sudden wear of the drill string (with the development of cracks), an increase in the vibration amplitude along the Y axis by 1.8-2.3 times was recorded with unchanged drilling modes, which indicates a loss of symmetry of the structure or a violation of the center of mass.

Table 2. Patterns of technical parameters before equipment failures (by type of failure)

Type of failure	Previous parameter deviations	Average anomaly duration (min)	Detection probability (%)
Worn lock	Y-axis vibration increase by 22.4%	33	87
Rotor overheating	Gearbox temperature increase by 16.8°C	12	74
Bit damage	X-axis vibration increase by 31.7% and axial force increase by 14%	25	69

Type of failure	Previous parameter deviations	Average anomaly duration (min)	Detection probability (%)
Crack in drill pipe	Imbalance and vibration increase by 2.3 times	19	83
Hydraulic leak	Pressure drop by more than 0.6 MPa under constant load	17	65

Comparison of the results with other similar studies confirmed the obtained estimates. In particular, in the work of Lin et al. (Lin et al., 2021), where an LSTM network was used to analyze drilling tool degradation, the average accuracy was 92.8%, and the average prediction error was about 5%. In another study by Yamamoto et al. (Yamamoto et al., 2022), a GRU-based predictive model achieved MAPE = 6.2% when monitoring the condition of pumps in drilling rigs. In comparison with these results, the error values demonstrated in this work are lower both in absolute terms and in all accuracy assessment metrics. This is due not only to the improved model architecture, but also to the high density and quality of the source data, as well as their preliminary filtering and expansion. In order to more thoroughly evaluate the ability of models to predict early stages of equipment degradation, an experiment was conducted on a separate sample, including data with a gradation of 12 classes of technical condition - from "new condition" to "ultimate destruction". The LSTM and GRU models showed the accuracy of classifying conditions of 91.3% and 88.9%, respectively, while the average error in determining the degree of wear did not exceed 1.2 levels on a scale from 0 to 11. This confirmed the applicability of these architectures for problems of not only regression, but also multi-class classification of equipment conditions. In addition, the time intervals of residual resource forecasts were studied - the models gave reliable estimates on a horizon of up to 60 minutes ahead. For short-term forecasting tasks (up to 15 minutes), the accuracy reached maximum values (up to 98% coincidence in all features with actual failure events). For the medium-term forecast (15–30 minutes), the stability of the models was maintained with an error of less than 5%, and for a horizon of more than 30 minutes, an increasing error began, characteristic of all models on time series with nonlinear jumps.

Table 3. Comparison of the accuracy of short-, medium- and long-term residual resource forecasting

Forecast horizon	LSTM: R ²	LSTM: MAPE (%)	GRU: R ²	GRU: MAPE (%)
0–15 min (short-term)	0.9991	2.14	0.9988	2.67
15–30 min (medium-term)	0.9983	4.81	0.9975	5.26
30–60 min (long-term)	0.9961	7.92	0.9952	8.45
>60 min	0.9892	13.6	0.9847	14.8

In terms of operational application, the developed intelligent system demonstrated high resistance to data noise and interference caused by fluctuations in supply voltage, weather conditions and geomechanical features of rocks. This

was confirmed by analyzing data obtained during 14 shifts during drilling in areas with high vibroactive shales and marls, where traditional threshold control systems gave up to 27% false alarms. In contrast, the trained LSTM model erroneously interpreted states only in 3.1% of cases, and GRU in 4.4%, which corresponds to a reliability class of at least SIL 2. Finally, it is worth noting the economic aspects of using intelligent diagnostics. Based on the results of the operating cost modeling, the integration of the LSTM-based predictive maintenance system would reduce the share of unscheduled equipment downtime from 14.2% to 5.6%, reduce the average downtime by 31%, and reduce the total maintenance costs by 17.8% due to the accurate planning of repairs and component replacement. In monetary terms, this is equivalent to savings of more than 3.2 million rubles per year per drilling rig at an average load (Table 4).

Table 4. Economic effect from the implementation of an intelligent forecasting system on the UGB-50M drilling rig

Indicator	Before system implementation	After implementation (LSTM)	Savings/ improvement
Specific downtime, %	14.2	5.6	-60.6 %
Average downtime (hours)	3.7	2.2	-40.5 %
Maintenance costs (per year, million ₺)	18.1	14.9	-17.8 %
Number of accidents per year	21	8	-61.9 %
Productivity losses, t/year	4700	1880	-59.9 %

Thus, the conducted studies confirmed the high efficiency of using recurrent neural networks for intelligent diagnostics and prediction of wear of drilling equipment elements in real operating conditions. LSTM and GRU models demonstrated superiority over traditional analysis methods both in prediction accuracy and in resistance to noise and input data complexity. The obtained results open up broad prospects for the implementation of predictive approaches in drilling production and other segments of the mining industry.

Conclusion.

The conducted study confirmed the high efficiency of using LSTM and GRU recurrent neural networks for predicting the remaining resource and intelligent diagnostics of drilling equipment components in real operating conditions. The obtained results demonstrate a significant advantage of these architectures over traditional methods of analyzing the technical condition of equipment both in terms of forecasting accuracy and in resistance to noise and unpredictable fluctuations in input signals. In particular, the LSTM model achieved a determination coefficient of $R^2 = 0.9986$ and an average absolute percentage error (MAPE) of 3.87%, while a similar GRU model showed $R^2 = 0.9983$ and MAPE 4.12%. For comparison, the classic Random Forest model provided significantly worse results - $R^2 = 0.9650$ and MAPE 7.84%, which emphasizes a significant improvement in predictive ability when switching to neural network solutions. Additional analysis showed high sensitivity of the developed models to typical patterns of equipment degradation. Thus, in

87% of cases of drill joint failures, an increase in vibrations along the Y axis was recorded 20–40 minutes before the event, and in 74% of cases of rotor overheating, the gearbox temperature was observed to exceed 15°C. This demonstrates not only the high predictive accuracy of the models, but also their applicability for early warning of potential accidents. The experiments also showed that the models are able to effectively classify the degrees of technical condition: the accuracy of multi-class classification of conditions was 91.3% for LSTM and 88.9% for GRU with an average deviation within 1.2 levels on a scale of 12 gradations.

Evaluation of short- and medium-term residual resource forecasts also revealed the reliability of the models on a horizon of up to 30 minutes with a MAPE not exceeding 5%. The highest accuracy values were recorded in the 0–15 minute interval, where the LSTM and GRU models provided $R^2 = 0.9991$ and 0.9988 , respectively, which corresponds to a match with real parameter values in 97–98% of cases. Even in the 30–60 minute range, the neural networks remained stable, providing an error of less than 8%.

The practical implementation of the intelligent system showed a decrease in the number of false positives to 3.1% (for LSTM) and 4.4% (for GRU) compared to 27% when using traditional threshold monitoring. This meets the SIL 2 reliability requirements and confirms the possibility of integrating such solutions into maintenance systems in the mining industry. The economic efficiency of the implementation also turned out to be significant: a reduction in the specific downtime from 14.2% to 5.6%, a reduction in the average downtime by 40.5%, a reduction in the number of accidents by 61.9% and a reduction in annual maintenance costs from 18.1 to 14.9 million rubles. The total annual savings per drilling rig amounted to more than 3.2 million rubles, which emphasizes the feasibility of using the described approach in industrial operation conditions.

References

Beisembetov I.K., Oteny Y.N., Muraviev O.P., Baimakhanov T.M., Rakhadilov B.K., Kozhakhmetov S.K., Absadykov B.N., Syzdykov M.A., Tkacheva Y.O. (2012) Squeezing impact forces of the deformation on a cylindrical roller. *Applied Mechanics and Materials*. — Vol. 229–231. — P. 723–726. DOI: 10.4028/www.scientific.net/AMM.229-231.723 (In Eng.)

Boychuk I.P., Grinek A.V., Martyushev N.V., Klyuev R.V., Malozyomov B.V., Tynchenko V.S., Kukartsev V.A., Tynchenko Y.A., Kondratiev S.I. (2023) A methodological approach to the simulation of a ship's electric power system. *Energies*. — 16. no.24. 8101. <https://doi.org/10.3390/en16248101> (In Eng.)

Efremenkova E.A., Efremenkova S.K., Chavrov E.S. (2024) An Analysis of Power Friction Losses in Gear Engagement with Intermediate Rolling Elements and a Free Cage, *Mathematics*, 12(6):873. DOI 10.3390/math12060873 (In Eng.)

Galachieva S.V., Makhosheva S.A., Lyutikova L.A., Tlekhugov A.M. (2023) A logical approach to building a machine learning model for assessing the sustainable development of mountain areas [Logichnyy podkhod k postroyeniyu modeli mashinnogo obucheniya dlya otsenki ustoychivogo razvitiya gornyykh territoriy.]. *Sustainable Development of Mountain Territories*. 2023;15(4):921–928. DOI: 10.21177/1998-4502-2023-15-4-921-928 (In Russian)

Kapanski A.A., Hruntovich N.V., Klyuev R.V., Boltrushевич A.E., Sorokova S.N., Efremenkova E.A., Demin A.Y., Martyushev N.V. (2025) Intelligent methods of operational response to accidents

in urban water supply systems based on LSTM neural network models. *Smart Cities*. — no. 8(2). 59. <https://doi.org/10.3390/smartcities8020059> (In Eng.)

Kleshko II, Tynchenko VS, Grigorev DA, Fedorova AV, Yushkova LV. (2024) Automation of registration and training processes for more efficient adaptation of employees to environmental challenges. *E3S Web of Conferences*, 2024. — Vol. 549. Article No. 08004. DOI: 10.1051/e3sconf/202454908004 (In Eng.)

Koteleva N.I., Korolev N.A., Revin I.E. (2024) Application of automatic machine learning algorithms in fault diagnostic of ac electric motors in mineral resource industry enterprises [Primeneniye algoritmov avtomaticheskogo mashinnogo obucheniya pri diagnostike neispravnostey elektrodvigatelye peremennogo toka na predpriyatiyakh gornodobyvayushchey promyshlennosti]. *Sustainable Development of Mountain Territories*. — vol. 16. — no. 4. — P. 1671–1680. DOI: <https://doi.org/10.21177/1998-4502-2024-16-4-1671-1680> (In Russian)

Lin Y., Zhao W., Xu M., Zeng Y., Qiu J. (2021) Remaining Useful Life Prediction of PDC Drill Bit Based on LSTM Neural Network. *Sensors*, 21(24), 8259. <https://doi.org/10.3390/s21248259> (In Eng.)

Morgoeva A.D., Mandzhieva S.S., Kirichkov M.V., Sokolov A.A. (2024) Machine learning models study for assessing the effect of coal mining and energy enterprises on ecosystems [Issledovaniye modeley mashinnogo obucheniya dlya otsenki vliyaniya predpriyatiy ugledobychi i energetiki na ekosistemy]. *Sustainable Development of Mountain Territories*. — vol. 16. — no. 3. — P. 1130–1143. DOI: <https://doi.org/10.21177/1998-4502-2024-16-3-1130-1143> (In Russian)

Muraviev O.P., Sikhimbayev M.R., Absadykov B.N., Arymbekov B.S., Tkacheva Y.O. (2012) Residual stress formation at arbitrary types of rollers during the running in process. *Advanced Materials Research*. — Vol. 548. — P. 372–376. DOI: 10.4028/www.scientific.net/AMR.548.372 (In Eng.)

Nussipali R., Romanova V.V., Kononenko R.V. (2024) Combined Power Generating Complex and Energy Storage System, *Electricity*, 5(4):31–946. DOI: 10.3390/electricity5040047 (In Eng.)

Olentsova J., Kukartsev V., Orlov V., Semenova E., Pinchuk I. (2024) Predictive Modelling of Post-Monsoon Groundwater Quality in Telangana Using Machine Learning Techniques. *BIO Web Conf.* — 116, 03021. DOI: 10.1051/bioconf/202411603021 (In Eng.)

Orlov V, Tynchenko V, Volneykina E, Shutkina E, Stupin A. (2024) Developing a chatbot-based information system for employee interaction. *E3S Web of Conferences*. — Vol. 549. — No. 08018. DOI: 10.1051/e3sconf/202454908018 (In Eng.)

Rozhkova A, Kukartsev V, Kvesko M, Suprun E, Andreev V. (2024) Improving the efficiency of water use in agriculture by modelling the classification of groundwater quality. *BIO Web of Conferences*. — Vol. 116. — No. 03020. DOI: 10.1051/bioconf/202411603020 (In Eng.)

Stepanova E, Kukartsev V, Kravtsov K, Kukushkin E, Suprun E. (2024) Development of an automated information system for a logging company. *BIO Web of Conferences*. — Vol. 116. — No. 03014. DOI: 10.1051/bioconf/202411603014 (In Eng.)

Stepanova E., Orlov V., Kukartsev V., Pinchuk I., Suprun E. (2024) Machine Learning Approaches for Water Potability Prediction: Addressing Class Imbalance with SMOTE. *BIO Web Conf.* 2024, 116, 03024. DOI: 10.1051/bioconf/202411603024 (In Eng.)

Suprun E., Tynchenko V., Khramkov V., Kovalev G., Soloveva T. (2024) The Use of Artificial Intelligence to Diagnose the Disease. *BIO Web Conf.* 2024, 84, 01008. DOI: 10.1051/bioconf/20248401008 (In Eng.)

Tynchenko V, Kravtsov K, Podanyov N, Fedorova A, Bezvorotnykh A. Automation for the sustainable development of agriculture. *BIO Web of Conferences*. 2024. — Vol. 113. — No. 05010. DOI: 10.1051/bioconf/202411305010 (In Eng.)

Tynchenko Ya.A., Kukartsev V.V., Gladkov A.A., Panfilova T.A. Assessment of technical water quality in mining based on machine learning methods [Otsenka kachestva tekhnicheskoy vody v gornodobyvayushchey promyshlennosti na osnove metodov mashinnogo obucheniya]. *Sustainable Development of Mountain Territories*, 2024. — vol. 16. — no. 1. — P. 56–69. DOI: 10.21177/1998-4502-2024-16-1-56-69 (In Russian)

Yamamoto M., Fukuda M., Seki H. (2022). Predictive Maintenance of Drilling Pumps Using Recurrent Neural Networks. *Energies*, 15(18), 6759. <https://doi.org/10.3390/en15186759> (In Eng.)

Publication Ethics and Publication Malpractice in the journals of the National Academy of Sciences of the Republic of Kazakhstan

For information on Ethics in publishing and Ethical guidelines for journal publication see <http://www.elsevier.com/publishingethics> and <http://www.elsevier.com/journal-authors/ethics>.

Submission of an article to the National Academy of Sciences of the Republic of Kazakhstan implies that the described work has not been published previously (except in the form of an abstract or as part of a published lecture or academic thesis or as an electronic preprint, see <http://www.elsevier.com/postingpolicy>), that it is not under consideration for publication elsewhere, that its publication is approved by all authors and tacitly or explicitly by the responsible authorities where the work was carried out, and that, if accepted, it will not be published elsewhere in the same form, in English or in any other language, including electronically without the written consent of the copyright-holder. In particular, translations into English of papers already published in another language are not accepted.

No other forms of scientific misconduct are allowed, such as plagiarism, falsification, fraudulent data, incorrect interpretation of other works, incorrect citations, etc. The National Academy of Sciences of the Republic of Kazakhstan follows the Code of Conduct of the Committee on Publication Ethics (COPE), and follows the COPE Flowcharts for Resolving Cases of Suspected Misconduct (http://publicationethics.org/files/u2/New_Code.pdf). To verify originality, your article may be checked by the Cross Check originality detection service <http://www.elsevier.com/editors/plagdetect>.

The authors are obliged to participate in peer review process and be ready to provide corrections, clarifications, retractions and apologies when needed. All authors of a paper should have significantly contributed to the research.

The reviewers should provide objective judgments and should point out relevant published works which are not yet cited. Reviewed articles should be treated confidentially. The reviewers will be chosen in such a way that there is no conflict of interests with respect to the research, the authors and/or the research funders.

The editors have complete responsibility and authority to reject or accept a paper, and they will only accept a paper when reasonably certain. They will preserve anonymity of reviewers and promote publication of corrections, clarifications, retractions and apologies when needed. The acceptance of a paper automatically implies the copyright transfer to the National Academy of Sciences of the Republic of Kazakhstan.

The Editorial Board of the National Academy of Sciences of the Republic of Kazakhstan will monitor and safeguard publishing ethics.

Правила оформления статьи для публикации в журнале смотреть на сайтах:

**[www:nauka-nanrk.kz](http://www.nauka-nanrk.kz)
<http://www.geolog-technical.kz/index.php/en/>
ISSN 2518-170X (Online),
ISSN 2224-5278 (Print)**

Директор отдела издания научных журналов НАН РК *А. Ботанқызы*

Редакторы: *Д.С. Аленов, Ж.Ш. Әден*

Верстка на компьютере *Г.Д. Жадыранова*

Подписано в печать 15.08.2025.

Формат 70х90^{1/16}. Бумага офсетная. Печать – ризограф.
20,5 п.л. Заказ 4.